01-12-07

Application Number

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

STRICE

TRANSMITTAL

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/646,289

FORM (to be used for all correspondence after initial filing)		Filing Date	8/21/2	2003	
		First Named Inventor	Son H	lo et al	
		Art Unit	2188		
	Ī	Examiner Name	Kaush	nikkumar M. Patel	
Total Number of Pages in This Submission	1 7	Attorney Docket Number	MP03	90.1	
	ENCLOS	URES (check all that apply)			
Fee Transmittal Form	Drawing(s			After Allowance Communication to Technology Center (TC)	
Fee Attached	Licensing	-related Papers		peal Communication to Board of peals and Interferences	
Amendment / Reply	Petition			peal Communication to TC opeal Notice, Brief, Reply Brief)	
After Final		o Convert to a al Application	Pro	pprietary Information	
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Address	☐ Sta	atus Letter	
Extension of Time Request		nal Disclaimer		Other Enclosure(s) (please identify below):	
Express Abandonment Request		est for Refund		PTO Form 1449 with 1 cited Other Document Reference (attached) and Return Postcard	
Information Disclosure Statement					
Certified Copy of Priority Document(s)	Remarks	fees that may be required.	ed unde	uthorized to charge any additional or 37 CFR 1.16 or 1.17 to Deposit thate copy of this sheet is enclosed.	
Response to Missing Parts/ Incomplete Application		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name Harness, Dickey & Pierce, P.L.		Attorney Name Michael D. Wiggins		Reg. No. 34,754	
Signature / Well Diversity					
Date January 11, 2007	W				
CE	DTIEICATE	OF TRANSMISSION/MAIL	ING		

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Donna Senn		Express Mail Label No.	EV 757 778 715 US (1/11/2007
Signature	Soma	Sem	Date	January 11, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/646,289

Filing Date:

8/21/2003

Applicant:

Son Ho et al

Group Art Unit:

2188

Examiner:

Kaushikkumar M. Patel

Title:

Line Cache Controller with Lookahead

Attorney Docket:

MP0390.I

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

01/12/2007 SSITHIB1 00000070 10646289

01 FC:1806

180.00 OP

Serial No. 10/646,289

	B. Any patents, publications or other informat 1449 or on the copies of PTO-892, but which are previously cited by or submitted to the PTO in on which has been relied upon for an earlier filing date	e not enclosed herewith, were e of the following applications
	U.S. Serial Number U.S	S. Filing Date
	C. This is a PCT application in the entry of the States. A copy of the International Search Report information. The documents listed on the Internation the attached Form 1449 for consideration by the any patent resulting from this application. If the Infrom the US, EPO, or JPO search authorities, copi have been supplied to the USPTO under the believed to be in the file of the above-identified app	is attached for the Examiner's tional Search report are listed the Examiner and for listing on ternational Search report was ties of these references should trilateral agreement and are
Н.	CONCISE EXPLANATION OF THE RELEVANCE	(check <u>at least</u> one box)
	A. Except as may be indicated below in (B), all other information are in the English language (conc	of the patents, publications or ise explanation not required).
	B. A concise explanation of the relevance of ear information listed that is not in the English languag § 1.98(a)(3)):	
	 See the attached foreign patent of counterpart foreign application: 	office communication from a
	2. English translations are provided:	
	3. Other:	
	C. The following additional information is consideration.	provided for the Examiner's

Page 2 of 5

IV.	CROSS REFERENCE	E TO RELATED APPLICA	ATION(S)
	contain(s) subject ma bringing this(these) a	atter that may be relate	ollowing co-pending application(s) ed to the present application. By niner's attention, Applicant(s) does 35 U.S.C. § 122.
	Serial No.	Filing Date	<u>Inventor(s)</u>
V.	THIS IDS IS BEING F	ILED UNDER	
	A. 37 C.F.R. § 1.97	7(b): (check <u>only</u> one box	()
-	than a continu		date of a national application other ion under § 1.53(d) (37 C.F.R. § quired.
	2. within thr forth in § 1.491 fee or certificat	in an international appli	f entry of the national stage as set cation (37 C.F.R. § 1.97(b)(2)). No
	1.97(b)(3)). N Office Action of under 37 C.F. 1.97(e) below;	o fee or certification is on the merits has been R. § 1.97(c) and see the or, if no certification ha	e Action on the merits (37 C.F.R. § required. In the event that a first issued, please consider this IDS ne certification under 37 C.F.R. § as been made, charge our deposit as required by 37 C.F.R. § 1.17(p)
	 before the for continued experies required. 	e mailing of a first Office xamination under 37 C.F	e Action after the filing of a request F.R. § 1.114. No fee or certification
	B. 🔀 37 C.F.R. § 1.9	7(c) : (check <u>only</u> one box	()
	before the mail 1.113, a Notice otherwise close	e of Allowance under 37	nal Office Action under 37 C.F.R. § 7 C.F.R. § 1.311, or an action that
	1. ⊠ No certifi by 37 C.F.R. §		n the amount of \$180.00 is required
	2. See the c	certification below. No fe	e is required.

	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
-	A. ach item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or
	B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. 1.704(d)
	The undersigned hereby states that:
	each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS

VIII. PAYMENT OF FEES (check only one box, if applicable)

A. \boxtimes A credit card payment in the amount of \$180.00 is enclosed for the above-identified fee.

B. Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-identified fee. A duplicate copy of this paper is attached.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: January 11, 2007

Michael D. Wig Reg. No. 34,75

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

MDW/dls



FORM 1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET No.	SERIAL NO.
MP0390.I	10/646,289
APPLICANT	
Son Ho et al	
FILING DATE	GROUP
8/21/2003	2188

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FORE	IGN PATEN	IT DOCUMENTS	3				
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translati Yes	on No
1.							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.		Adapting Cache Line Size to Application Behavior; Alexander V. Veidenbaum et al; Copyright ACM 1999 1-58113-164-x/99/06. Pages 145-154.		

Examiner:	Date Considered: